

Appl. Serial No. 10/653,240 Atty. Dkt. No. 079173-0125

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

K.R. SRIDHAR et al.

Title:

COMBINED ENERGY STORAGE AND FUEL

GENERATION WITH REVERSIBLE FUEL

**CELL** 

Appl. No.:

10/653,240

Filing Date: 09/03/2003

Examiner:

John S. Maples

Art Unit:

1745

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(iii) to submit copies of cited pending applications which are stored in the USPTO's Image File Wrapper (IFW) system. Applications filed on or after June 30, 2003, and international applications that have entered the national stage on or after June 30, 2003, have been or are being scanned into the IFW system. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated October 19, 2004, Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent Applications. Document B17 listed on the attached Form PTO/SB/08, according to the

USPTO's PAIR site is stored in the Image File Wrapper (IFW) system, therefore this cited pending application is not being submitted herewith.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a

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check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: <u>January 10, 2005</u>

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO Complete if Known **Application Number** 10/653,240 INFORMATION DISCLOSURE 09/03/2003 STATEMENT BY APPLICANT Filing Date K. R. SRIDHAR First Named Inventor Date Submitted: January 10, 2005 Group Art Unit 1745 (use as many sheets as necessary) **Examiner Name** John S. Maples RADEM Sheet Attorney Docket Number 079173-0125 of

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Document		A	Date of Publication of	Pages, Columns, Lines Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	B1	4,792,502		Trocciola et al.	12/201988		
	B2	5,501,914		Satake et al.	03/26/1996		
	B3	5,505,824		McElroy	04/09/1996		
	B4	5,686,196		Singh et al.	11/11/1997		
	B5	5,955,039		Dowdy	09/21/1999		
	B6	2001/0049035		Haltiner, Jr. et al.	12/06/2001		
	B7	2002/0028362		Prediger et al.	03/07/2002		
	B8	2002/0106544		Noetzel et al.	08/08/2002		
	B9	6,451,466		Grasso et al.	09/17/2002		
	B10	6,623,880		Geisbrecht et al.	09/23/2003		
	B11	2003/0196893	A1	McElroy et al.	10/23/2003		
	B12	2004/0081859	A1	McElroy et al.	04/29/2004		
	B13	2004/0191597	A1	McElroy	09/30/2004		
	B14	2004/0224193	A1	Mitlitsky et al.	11/11/2004		
	B15	6,821,663	B2	McElroy et al.	11/23/2004		

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	B16	PCT	WO 2004/0	093214		ION AMERICA CORP.	10/28/2004		

NON PATENT LITERATURE DOCUMENTS							
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	B17	U. S. Application Serial No. 10/446,704, filed May 29, 2003.					

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.